



1655
H23

PATENT
ATTORNEY DOCKET NO.: DIVER1220-2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant[s]: Jay M. Short

Art Unit: 1655

Application No.: 09/214,645

Examiner: B. Sisson

Filed: September 27, 1999

Title: METHODS OF DNA SHUFFLING WITH POLYNUCLEOTIDES
PRODUCED BY BLOCKING OR INTERRUPTING A SYNTHESIS OR
AMPLIFICATION PROCESS

COPY OF PAPERS
ORIGINALLY FILED

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

FEB 13 2002

TECH CENTER 1600/2900

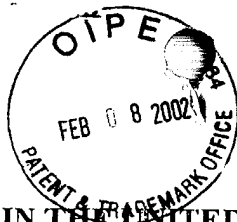
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37. C.F.R. § 1.97, enclosed is reference relating to the above-identified application. For the convenience of the Examiner, the reference is listed on the attached Form PTO-1449 and the copy is enclosed herewith.

It is respectfully requested that the reference be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 12/18/2001, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
YAN LI (Name of Person Mailing Paper)	
(Signature) <i>Yan Li</i>	12/18/2001 (Date)



PATENT
ATTORNEY DOCKET NO.: DIVER1220-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant[s]: Jay M. Short Art Unit: 1655
Application No.: 09/214,645 Examiner: B Sisson
Filed: September 27, 1999
Title: METHODS OF DNA SHUFFLING WITH POLYNUCLEOTIDES
PRODUCED BY BLOCKING OR INTERRUPTING A SYNTHESIS OR
AMPLIFICATION PROCESS

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL SHEET

RECEIVED
FEB 13 2002
TECH CENTER 1600/2900

Transmitted herewith for the above-identified application please find:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. One (1) U.S. Patent;
4. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>12/18/2001</u> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
<u>YAN LI</u> (Name of Person Mailing Paper)	
<u>[Signature]</u> (Signature)	<u>12/18/2001</u> (Date)

In re Application of:
Jay M. Short
Application No.: 09/214,645
Filed: September 27, 1999
Page 2

PATENT
Attorney Docket No.: DIVER1220-2

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement, because it is being filed within three months of a CPA request. However, if any fee is required, authorization is hereby given to charge any fees associated with the filings submitted herewith to Deposit Account No. 50-1355.

Respectfully submitted,

Date: December 18, 2001

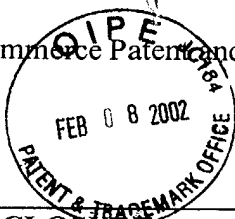


✓ Lisa A. Haile, J.D., Ph.D.
Registration No.: 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

USPTO CUSTOMER NUMBER 28213

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. (Optional) DIVER1220-2	Serial No.: 09/214,645
	Applicant(s): Jay M. Short	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: 9/27/1999	Group Art Unit: 1655



U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	6,323,030 B1	11/27/2001	Stemmer, W.	435	6	01/29/1999

RECEIVED
 FEB 13 2002
 TECH CENTER 1600/2900

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.